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Attorney Docket No.: MSK.P-012-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Houghton
Serial No.: 09/898,541
Confirmation: 3286
Filed: July 2, 2001
Title: Methods and Reagents for Genetic Immunization

SUBMISSION OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner Patents and Trademarks
Washington, DC 20231

Sir:

Applicant requests that the references listed on Substitute Form PTO-1449, which is enclosed, be made of record in the Patent Office file relating to the above-captioned application. Copies of the references are provided herewith. Also, see the enclosed copy of the Supplementary European Search Report dated November 21, 2001.

No fee is believed to be due with this paper as we have just received the references with the Supplementary European Search Report. The Commissioner is authorized to credit any fees due to Deposit Account Number 15-0610.

Respectfully submitted,
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CERTIFICATE OF MAILING UNDER 37 CFR §1.8(a)

I hereby certify that this paper and the attachments named herein are being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on _____

January 7, 2002.

January 7, 2002
Date of Signature

Lori South

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Substitute for form 1449

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**



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Page 1 of 1

U.S. PATENT DOCUMENTS

| Examiners Initials | U S Patent No. | Name of Persons or applicant | Date of Publication of Cited Document |
|-----------------------|----------------|------------------------------|--|
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FOREIGN PATENT DOCUMENTS

| Examiners Initials | Patent No. | Name of Persons or applicant | Date of Publication of Cited Document |
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| | WO 94/17192 | The Johns Hopkins University | 08/04/94 |
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | |
|----------------------|---|
| | Wu, Tzyy-Chouu et al., <i>Engineering an intracellular pathway for major histocompatibility complex class II presentation of antigens</i> , PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES, vol. 92, no. 25, 1995, pp. 11671-11675, XP002180963 |
| | Restifo, Nicholas P. et al., <i>Antigen Processing in Vivo and the Elicitation of Primary CTL Responses</i> , JOURNAL OF IMMUNOLOGY, vol. 154, no. 9, 1995, pp. 4414-4422, XP001019292 |
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This Information Disclosure Citation List is being submitted as a substitute for Form PTO-1449. The Examiner is requested to place his or her initials on the lines adjacent to the citations to indicate that the reference has been considered. The Examiner is further requested to fill in his or her name and the date the information was considered in blocks at the bottom of this substitute for Form PTO-1449.

Examiner Signature

Date Considered